

Department of Children and Families

Qualitative Framework + Activities



Children's Committee Presentation

02.04.2015

Grounding Qualitative Foci



- Legislative Mandates
- Agency Mission
- Cross-Cutting Themes
- Agency Values

2016 DCF Performance Expectations

- Successfully exit from Juan F. Consent Decree
- Ensure children reside safely with families whenever possible
- Achieve racial justice across the DCF system
- Prepare children and adolescents in care for success
- Prepare and support the workforce to meet the needs of children and families

Federal QA/CQI Framework Guidance

Informational Memorandum 12-07 (August 2012)

Continuous quality improvement (CQI) is the complete process of identifying, describing, and analyzing strengths and problems and then testing, implementing, learning from, and revising solutions. It relies on an organizational culture that is proactive and supports continuous learning.

CQI is firmly grounded in the overall mission, vision, and values of the agency. Perhaps most importantly, it is dependent upon the active inclusion and participation of staff at all levels of the agency, children, youth, families, and stakeholders throughout the process

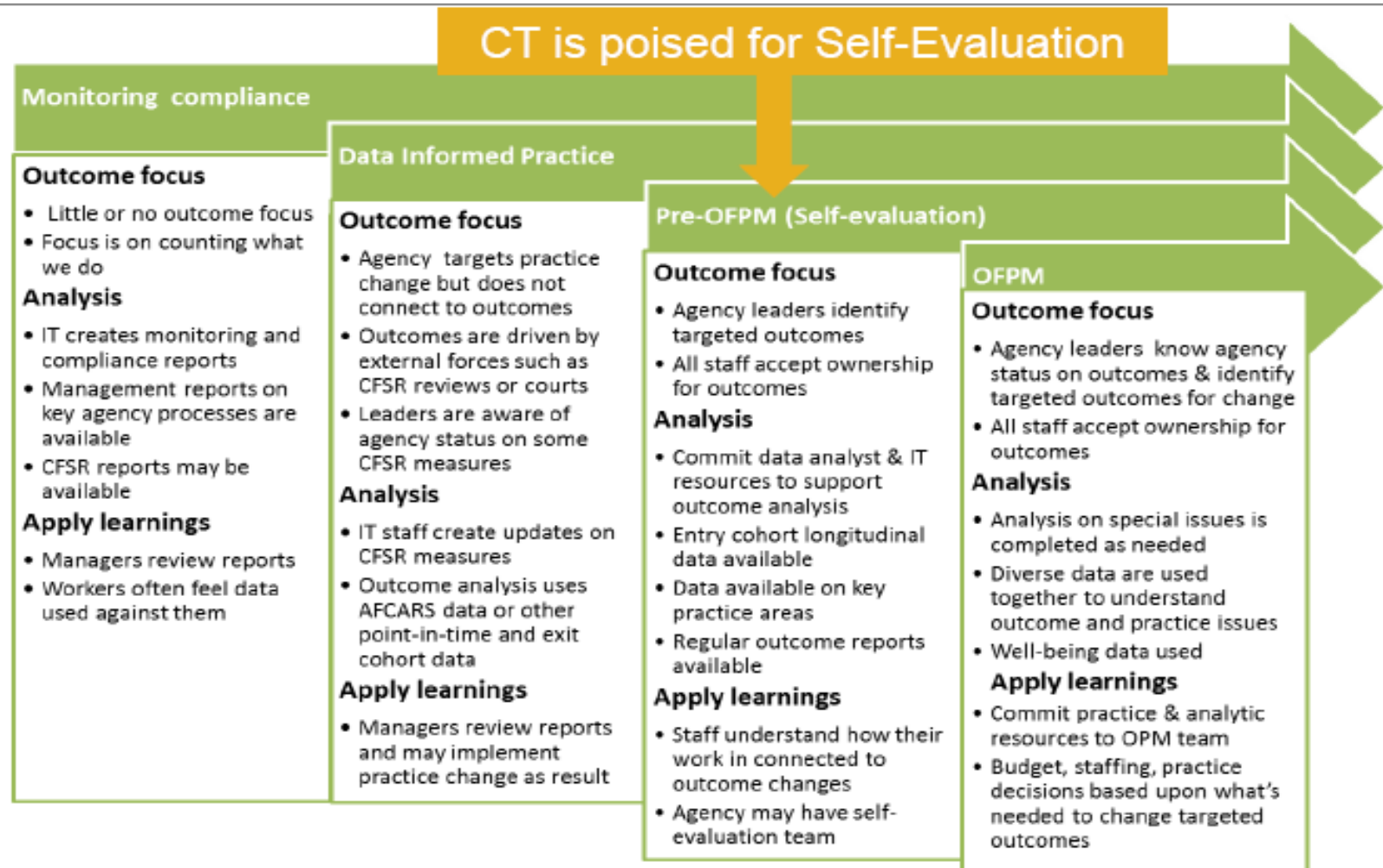
Outcome Focused Performance Management Assessment

External Evaluation:

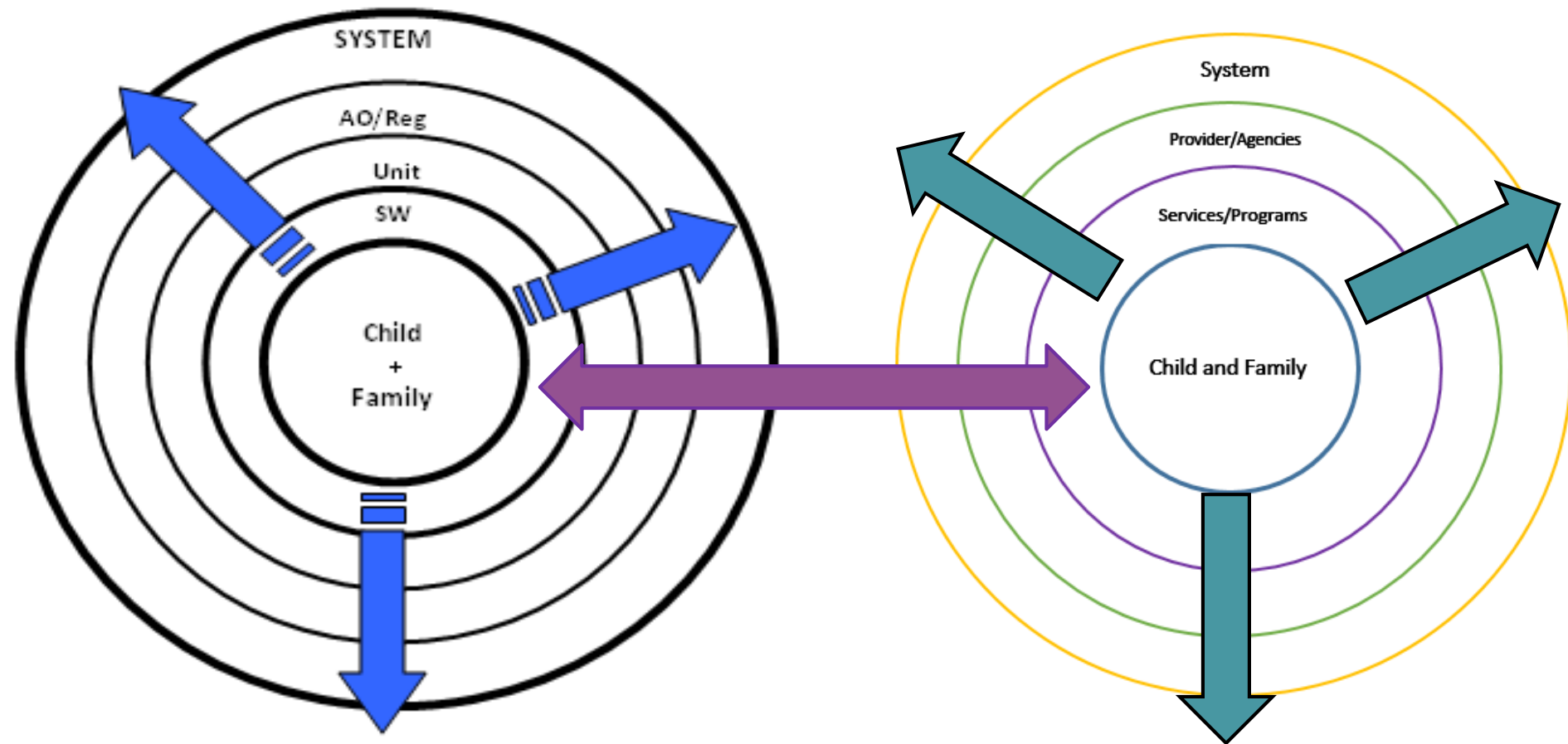
The Annie E. Casey Foundation Child Welfare Strategy Group (May 2013):

- Common Agency Outcomes
- Supply and Quality of Analytic Resources
- Processes
- Practices and Policies

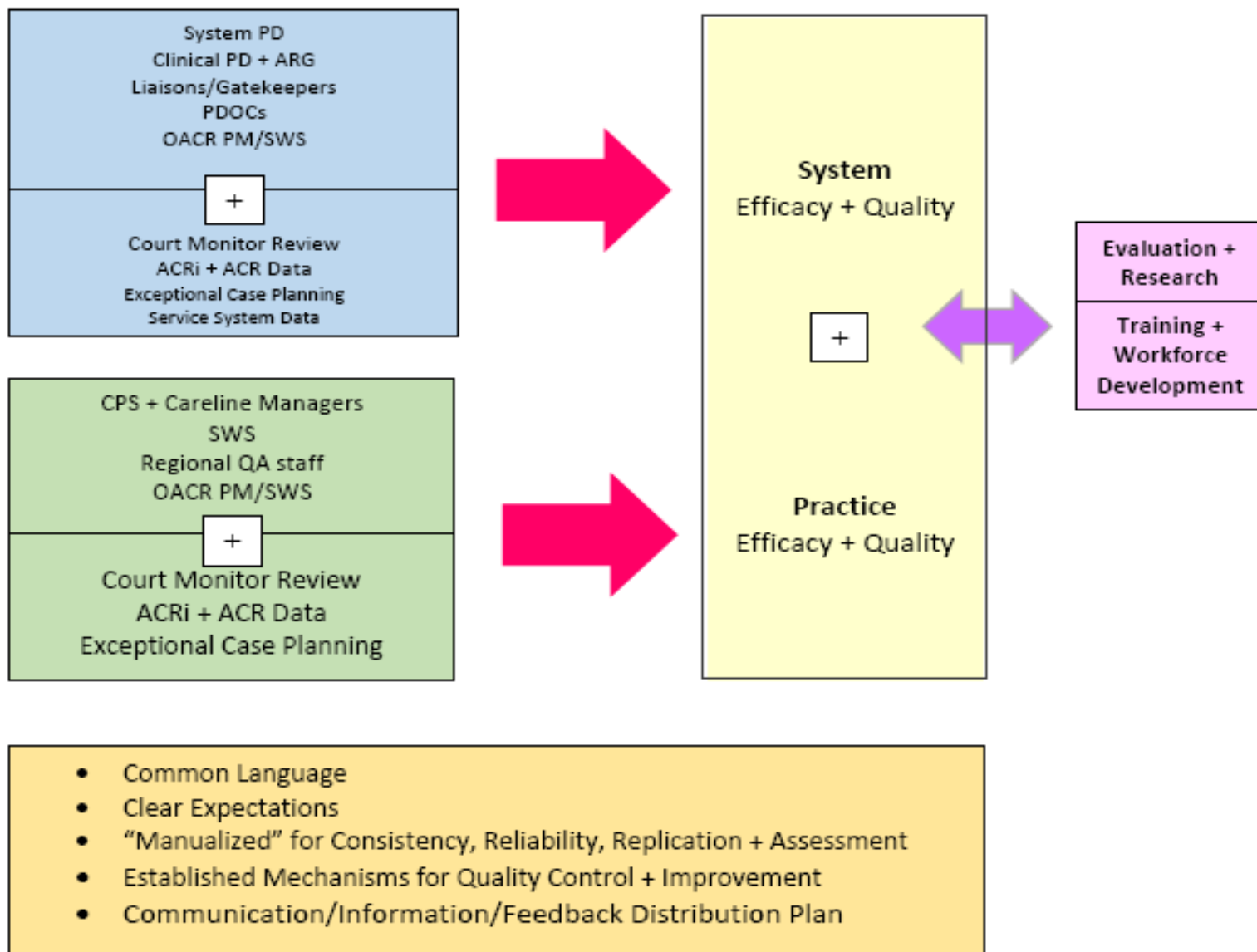
2013 External Evaluation Findings



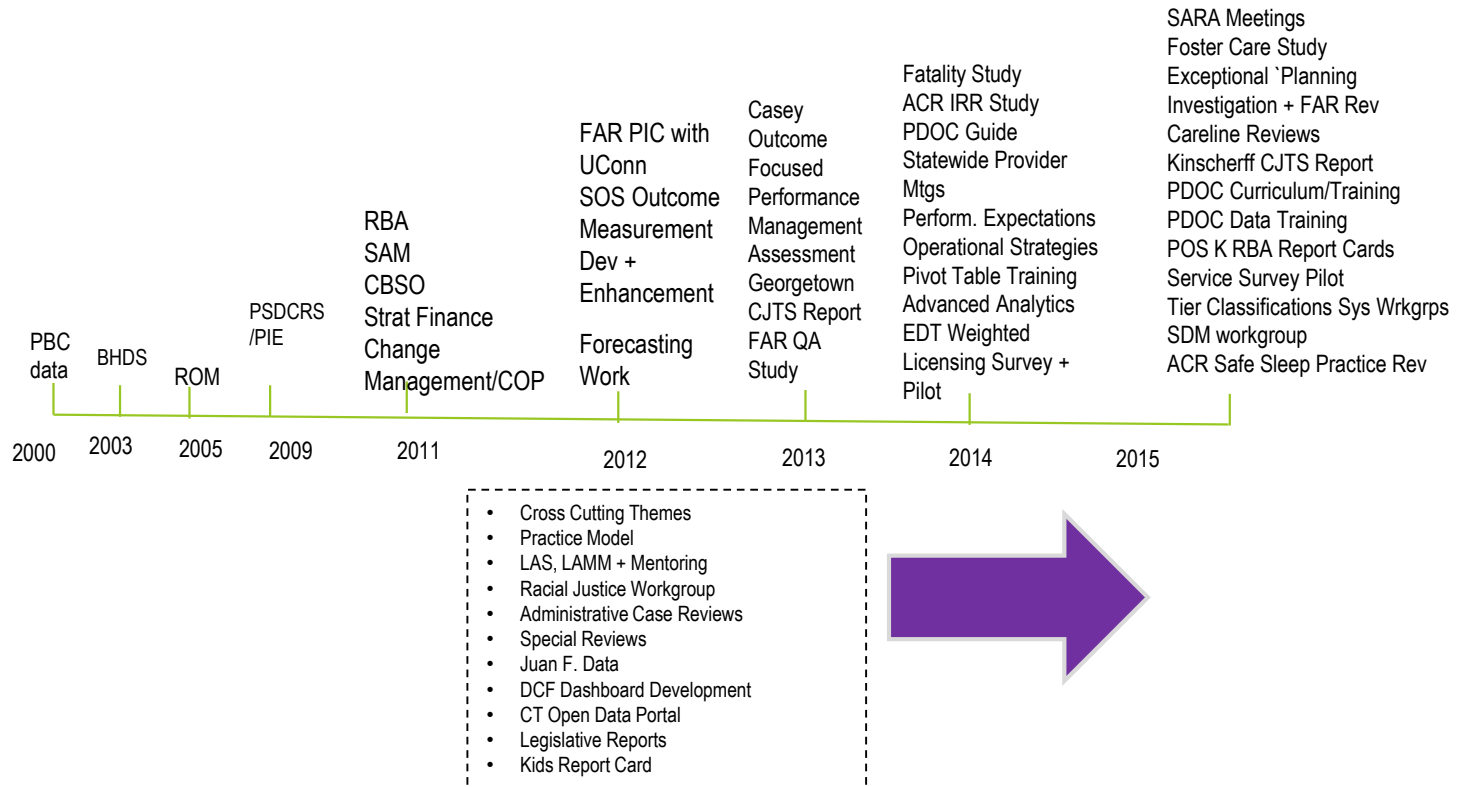
Radiating QA/CQI Approach



Practice + Systems: Improvement Inventory Schema



Data + QA/CQI: Integrated Performance Management



Federal CQI Guidance: Essential Components

Federal IM 12-07 Components	Select DCF Features
Administrative structure to oversee effective CQI system functioning	<ul style="list-style-type: none"> ▪ Senior Leadership Authority ▪ Office of Administrative Case Review (OACR) ▪ Dedicated Office of Research and Evaluation (ORE) ▪ Regional QA Managers ▪ Program Development and Outcomes Coordinators ▪ Director of Performance Management ▪ COPs ▪ Operational Strategies
Quality data collection	<ul style="list-style-type: none"> ▪ Inter-Rater Reliability ▪ ACRI + CCRS ▪ OACR CQI Plan ▪ RBA Report Cards ▪ Data Training ▪ Data Reporting/Dashboards + Use
Method for conducting ongoing case reviews	<ul style="list-style-type: none"> ▪ ~50 dedicated Case Reviewers ▪ Qualitative Reviews + Evaluations ▪ Special Reviews (Fatalities and Severe A/N)
Process for the analysis and dissemination of quality data on all performance measures	<ul style="list-style-type: none"> ▪ ACR Reports Site ▪ Service Array Resource Allocation Meetings ▪ Quarterly Operational Strategies Meetings ▪ ORE SharePoint Site ▪ DCF Data Connect
Process for providing feedback to stakeholders and decision makers and as needed, adjusting State programs and process.	<ul style="list-style-type: none"> ▪ Senior Administrator's Meeting ▪ Statewide + Regional Advisory Bodies ▪ Internal CO + AO/Regional Meetings ▪ Statewide Provider + Trade Association Meetings

Select Current QA/CQI Activities

- Careline Decision Making QA reviews
- Investigations QA Reviews
- FAR/Differential Response System Independent Evaluation
- Administrative Case Reviews + Exceptional Case Planning
- Juan F. Reviews
- Fatality Tracking + Review
- Foster Care Satisfaction Survey
- Contracted Services RBA Report Cards
- Staff Satisfaction
- Staff Development (Training, Mentoring, + Coaching)
- CFSR

Careline Quality Assurance

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CY 2015 FAR DETERMINATION REVIEW

- 3 Quarters
- 727 reviews

Methodology:

Random sample drawn by ORE

Standard Tool for Review and Data Collection

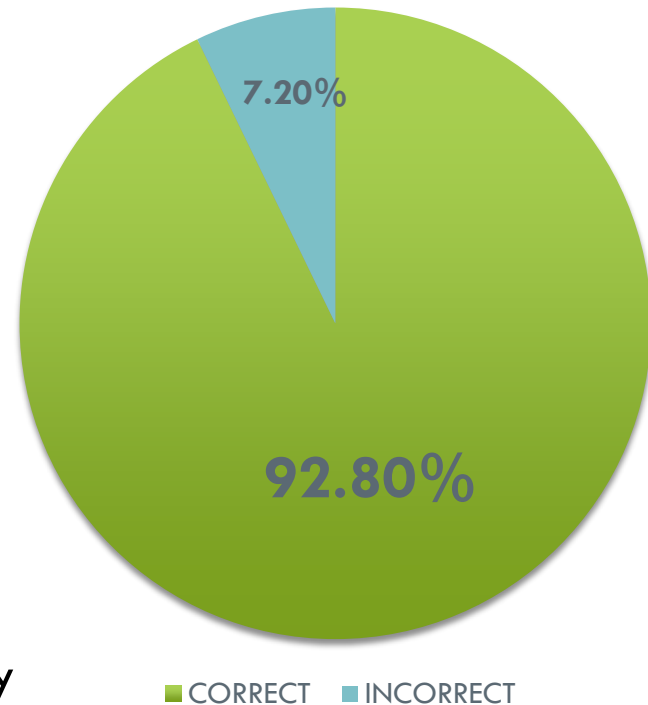
Reviews conducted by:

Careline Mangers

CPS Investigations Manger

DCF Workforce Development Academy

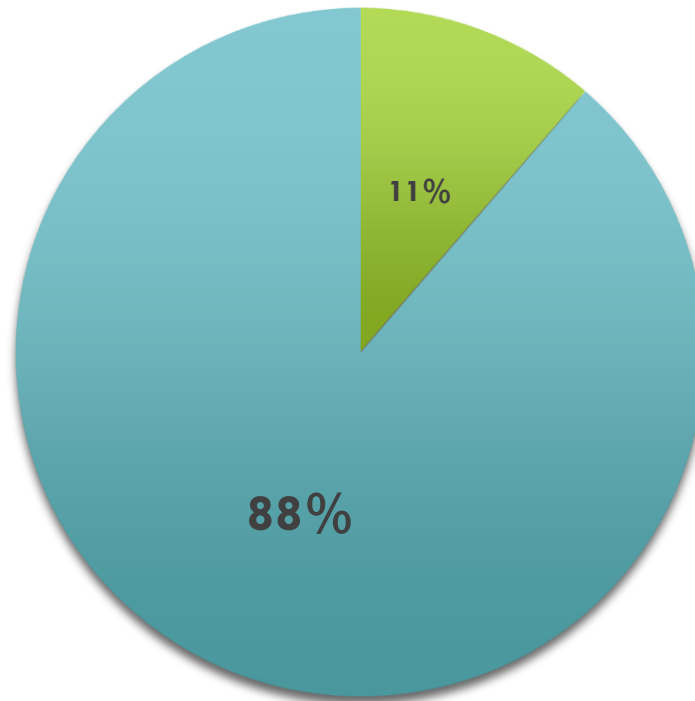
DCF Licensing Manager



Careline Quality Assurance, Cont.

Non-Accepts Review

89 TOTAL REPORTS REVIEWED



■ DISAGREE ■ AGREE

Investigations and FAR Review

Includes questions from the Federal OSRI and the following:

- Was the report assigned the right response time and track by Careline?
- Did we commence on time per the policy (same day, 24 hours, 72 hours)
- Was the track (Investigations, FAR) appropriate given the facts of the case?
- If the track changed, did it change appropriately for safety reasons?
- Did we make face-to-face contact with the children in a timely manner?
- Quality of the SDM safety assessment
- Quality of the SDM risk assessment
- Quality of the Protective Factors assessment
- Did we interview all the children alone?
- Did we make sufficient, quality visits to the home based on the circumstances of the case?
- Did the worker make the necessary collateral contacts?
- Did the SWS provide and document effective supervision at the beginning, middle and end of the Intake
- If the children had to be removed, was a considered removal meeting held?
- If a considered removal meeting was held, how effective were we at getting all the right parties to the table?
- Quality of the protocol
- How effective were we at offering services when needed?
- Was the disposition of the case adequate given the facts and circumstances?
- Did we complete the Investigation or FAR on time?
- How effective were we at transitioning the case to the CPA or Ongoing Services, if needed?

Administrative Case Review

- 13,718 administrative case review meetings during CY 2015, covering 4457 unique cases
- DCF's case review system has been designed to ensure that a periodic review for each child occurs no less than once every six (6) months
- Case plan reviews and ratings are guided by and captured in the ACRi (a standardized, electronic tool)
- ACRi was developed to capture Federal (CFSR) items pertaining to safety, permanency and well-being.
- Under the Exceptional Case Planning approach, Area Office CPS Managers review the completed ACRis
- Individual Support Plans (ISP) are created for SWs for whom the Exceptional Case Planning process identifies as having continuing challenges
- 2015 ACR Practice Review:
 - Assess consistency of ACR reviewers' inclusion of safe sleep assessments in the review process and the impact to ratings for safety
 - Compare visitation narrative documentation to ACR Social Work Supervisors' (SWS) rating for safety for accuracy/consistency
 - Provide general feedback to Child Protection Services (CPS) and ACR staff related to Safe Sleep documentation

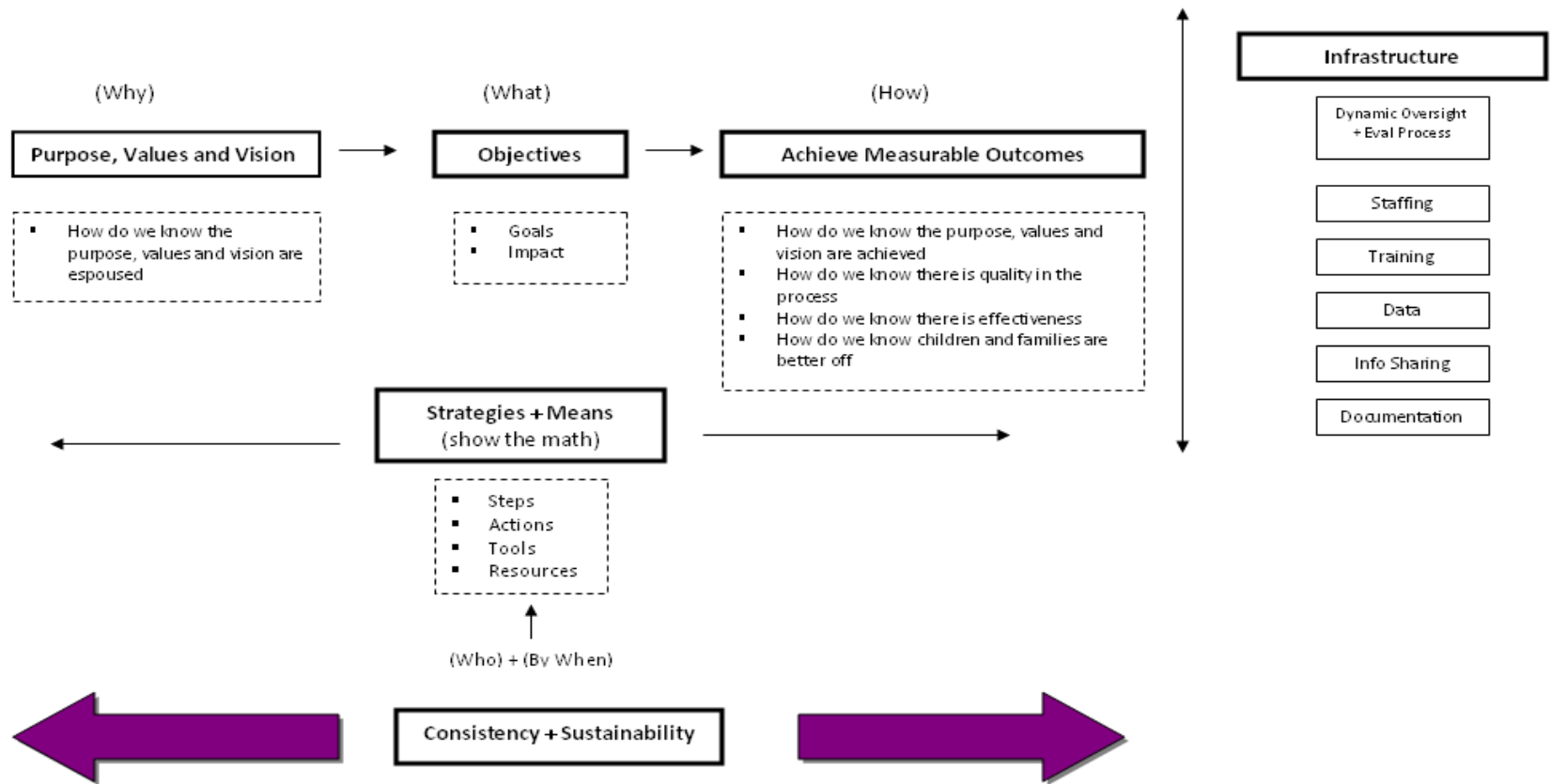
Select Items Reviewed + Rated by ACR

- Have the appropriate **SDM tools been completed in a timely and accurate manner?**
- Were concerted efforts made to **assess and address the risk and safety concerns**, via formal or informal assessments, related to the child(ren) in the home. Consider whether or not the Department conducted initial and ongoing safety and risk assessments. If concerns were noted, were they adequately and appropriately addressed by the Department? If a safety plan was developed, did the Department **continually monitor and update the safety plan**, including encouraging family engagement in services designed to promote achievement of the goals of the safety plan? Indicate the source of your information.
- **Quality of the case plan:** consider whether or not the case plan is complete. Does the case plan include an assessment of the family? Do the sections adequately represent the facts? Are the strengths and needs of the family identified? Are there objectives and action steps for all appropriate members? Is there evidence in the case record or via discussion at the ACR, that the case plan was discussed with the parents?
- Was **the frequency of the visits** between the social worker (or other responsible party) and the parent/guardian sufficient to address issues pertaining to the safety, permanency, and well-being of the child and promote achievement of case goals?
- Was **the quality of the visits** between the social worker and the parent/guardian sufficient to address issues pertaining to the safety, permanency, and well-being of the child and promote achievement of case goals?

Select Items Reviewed + Rated by ACR, Cont.

- ❑ Did the **agency assess the child's physical health care needs?**
- ❑ Is the child involved/engaged in services to **address mental health issues or strengthen coping skills?** (Including medication management)
- ❑ Did the agency make concerted efforts to **assess the child's educational/developmental needs?**
- ❑ Is child in placement **visiting with all siblings?**
- ❑ Did the social worker make sufficient ongoing concerted efforts throughout the period under review to **assess and address the parenting skills** of the parent/guardian/caretaker?
- ❑ Did the social worker make sufficient ongoing concerted efforts throughout the period under review to assess and address **the emotional health issues or strengthen coping skills of the parent/guardian/caretaker?** (Including medication management)
- ❑ **Quality of the visits between the social worker and the child** sufficient to address issues pertaining to the safety, permanency, and well-being of the child and promote achievement of case goals

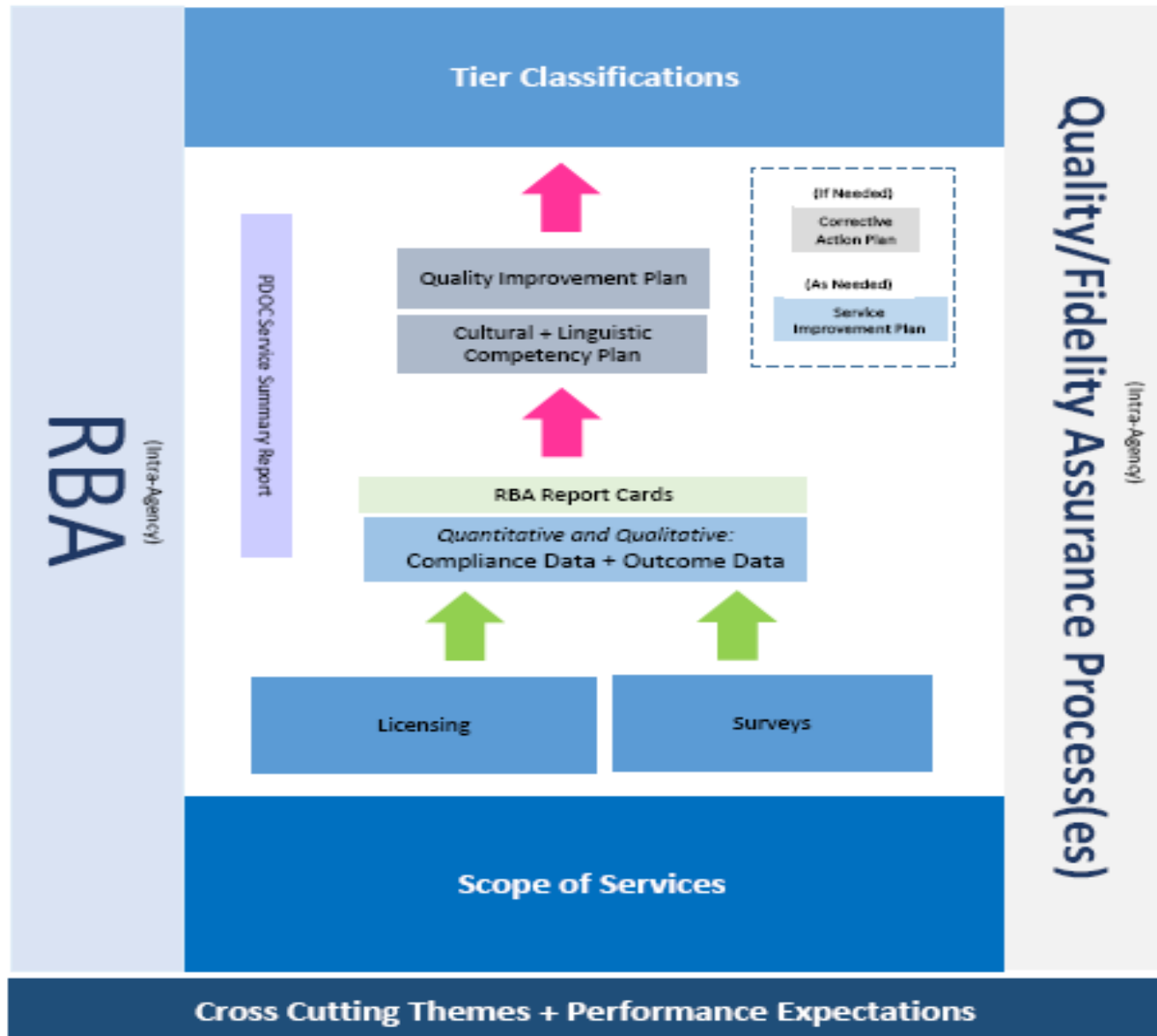
ACR Quality System Frame



Operational Strategies + RBA Report Cards

- ❑ Established agency performance expectations
- ❑ Annual operational strategies, developed within an RBA frame, are presented to and reviewed on a quarterly basis by DCF Senior Leadership
- ❑ RBA report cards created on a quarterly basis for DCF POS contracts
- ❑ RBA report cards presented monthly at Senior Leadership meeting
- ❑ 26 different service types have been reviewed since July 2015

Services Oversight and Performance Management: *Conceptual Integration Model*



Staff QA/CQI Development

RECURRING GUIDED DATA/CQI EXPOSURE OPPORTUNITIES

Formalized introduction to data/CQI through **Data 101** course



Some Data/CQI exposure in **LAS + LAMM**



Ramp up through **Introduction to Data Leadership**



Extended exposure through **Data Leadership Academy** (NM/STEP Curriculum)



Skill deepening through **Advanced Analytics Institute**

Ad Hoc Opportunities:

- PDOC Training
- PIE Training
- ROM Training
- Excel Training (e.g., Pivot Tables)
- RBA Training

2016 QA/CQI Enhancements

TRAINING	DATA + EVALUATION	CONSULTATION + PARTNERSHIPS
<ul style="list-style-type: none">• QA+ Data for New Managers• QA through a Racial Justice Lens• DCF Data Leadership Academy – based on NM STEP curriculum	<ul style="list-style-type: none">• CFRS – CCRS• DCF Data Connect• CT Data Portal Additions• New ROM system• New Dashboards (JJ, ESI + Case flow)• Data Governance• Contracted Services Tier Classification System• IRB Lean Management• FAR Eval Next Steps• Research Agenda	<ul style="list-style-type: none">• Center for State Capacity Building (Federal contractor)• Eckerd Rapid Safety Feedback• NM Safety + Success• CRC regarding SDM enhancements and QA

Family Assessment Response (FAR)

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- FAR was implemented in March 2012.
- As of April 2015, a total of 4,371 unique families were served by Community Supports for Families (CSF) program..
- Mean age of children is 7.84 years (range 0 - 17).
- 32% of the children served in CSF were ages 0-3.
- Racial breakdown of CSF participants: Black/African American - 17%; White - 42%; Hispanic/Latino - 35%; Other - 6%
- Data Source: Evaluation from the Performance Improvement Center, UConn School of Social Work, August 2015

FAR + Investigations Comparative Data

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	Invest.	FAR	Invest.	FAR	Total	
	#		%		#	%
Total Accepted Reports 4/1/12 - 6/30/15	61595	34872	63.9%	36.2%	96384	100%
Reports Responses Completed On Time	52850	30168	85.8%	86.5%	83018	86%
Reports Resulting in Case Opening	13147	1006	21.3%	2.9%	14153	15%
Reports with Alleged Victims in Repeat Report in Same Case Within 6 Months	10114	5404	16.4%	15.5%	15518	43%
Reports with Alleged Victims with Substantiation in Same Case Within 6 Months	3014	1171	4.9%	3.4%	4185	9%
Reports with an Alleged Victim that Entered DCF Placement Within 6 Months	3832	300	6.2%	0.9%	4132	2%

FOR REPORTS WITH ALLEGED VICTIMS AGES 0 - 2

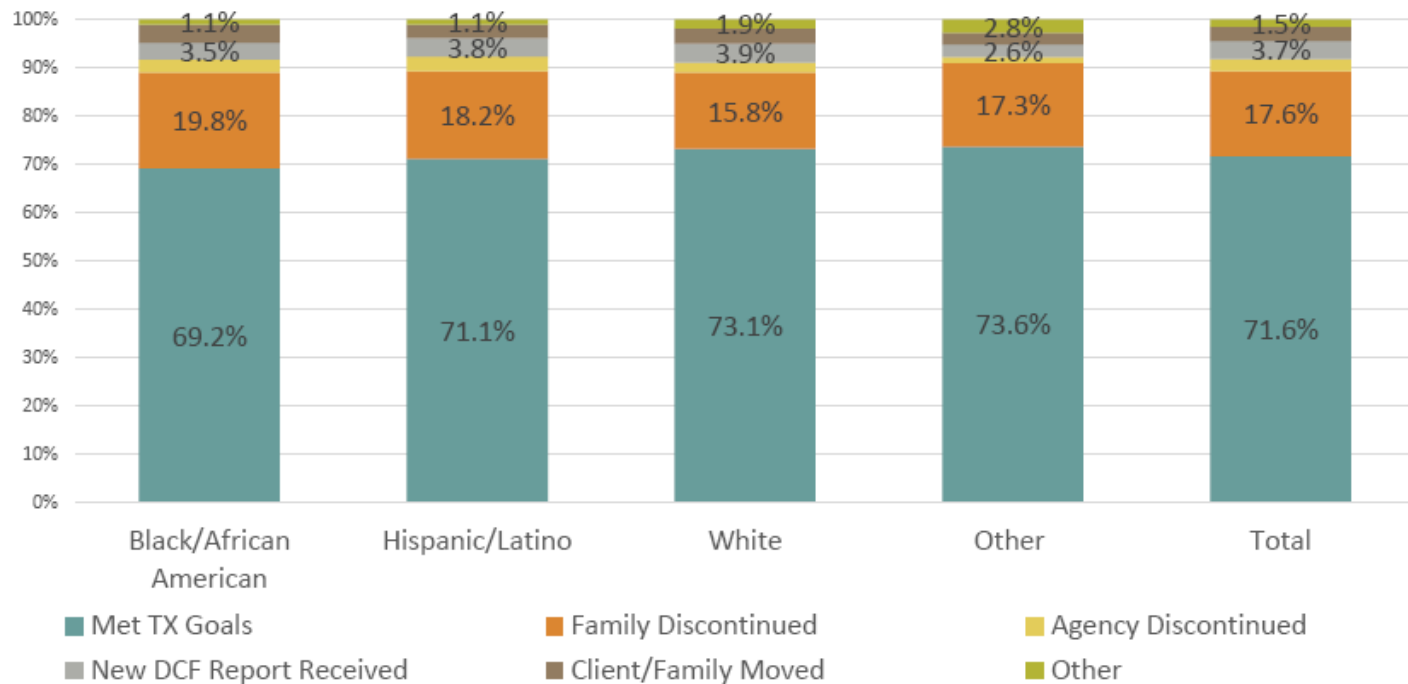
	Invest.	FAR	Invest.	FAR	Total	
	#		%		#	%
Total Accepted Reports 4/1/12 - 6/30/15	15855	7264	68.6%	31.4%	23119	100%
Reports Responses Completed On Time	13861	6367	87.4%	87.7%	20228	87%
Reports Resulting in Case Opening	5188	301	32.7%	4.1%	5489	24%
Reports with Alleged Victims in Repeat Report in Same Case Within 6 Months	2741	1250	17.3%	17.2%	3991	17%
Reports with Alleged Victims with Substantiation in Same Case Within 6 Months	1054	342	6.6%	4.7%	1396	6%
Reports with an Alleged Victim that Entered DCF Placement Within 6 Months	1727	75	10.9%	1.0%	1802	8%

Current data suggests that CT FAR cases, including those that involve children under age 3, have lower re-referral and repeat maltreatment percentages than traditional CT Investigation cases

FAR Racial Justice Considerations

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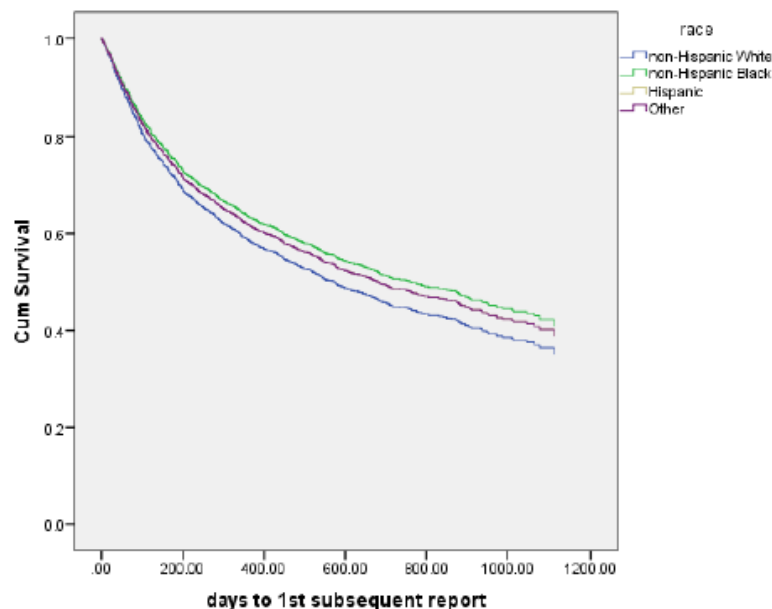
Reason for CSF Discharge by Child Race*



FAR Racial Justice Considerations, cont.

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CSF Survival Time to First Subsequent Report by Caregiver Race/Ethnicity



- Non-Hispanic Blacks are 15% less likely to have a subsequent report than Non-Hispanic Whites.
- After adjusting for race/ethnicity, the survival time to an investigation subsequent report between Region 5 & 3 becomes non-significant.

FAR QA Timeline

2012

- DCF entered into an MOA with the UCONN School of Social Work to assure a robust review and analysis of those FAR cases referred to the Community Support for Families.
- Together with regions and providers we review quarterly and annual data.
- Biannual meetings are held with the providers, central office and regions and facilitated by UCONN to examine data

2013

- Case review audits conducted

2014

- Case review audit report released
- based on UCONN reports and case review audits - updated practice guide was issued

2015

- expanded MOA with UCONN to evaluate the work with all families receiving a FAR as well as those referred to CSF

2016

- in process of further expansion of MOA to allow UCONN to evaluate all Intakes (Investigations, FAR and those referred to CSF)

FAR Evaluation Next Steps

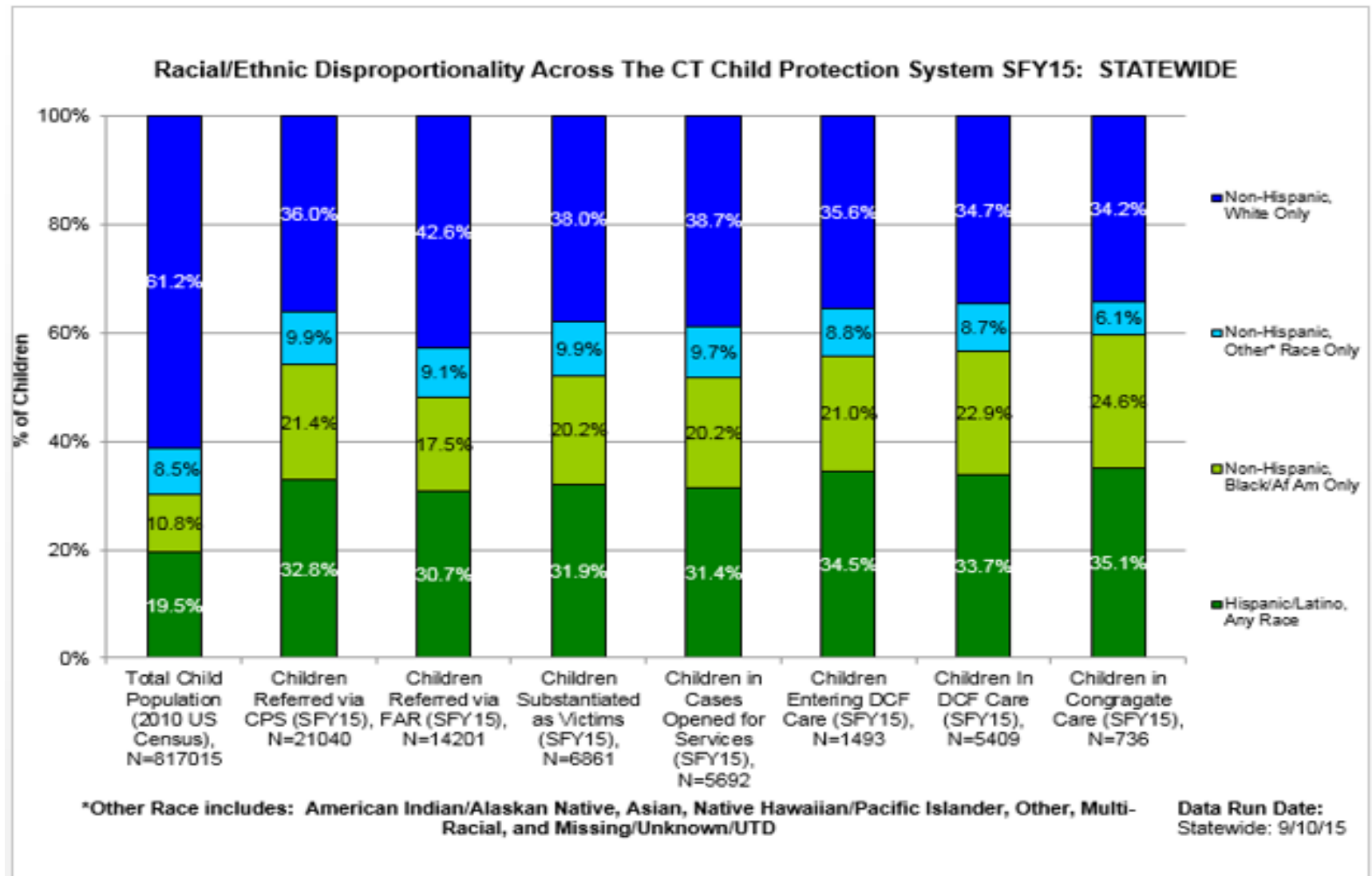
Next Steps:

- Increased access to demographic variables to allow for further analysis of FAR data
- NCFAS-G roll-out
- Site visits

Future analysis: continue to widen the scope of the outcome analysis:

- ✓ Identify and match demographic and background variables to various data levels: i.e., victim/children, perpetrator, and family primary care givers, to allow for developing profiles of children/families with risk of subsequent reports.
- ✓ Closely examine services/programmatic factors, e.g., FTM, "length of service", and how they are related to subsequent reports during and after CSF episodes.
- ✓ Evaluation of CSF vs non-CSF families (adjusted for various confounding factors to obtain "unbiased" estimate of CSF "treatment effect").
- ✓ Utilize the NCFAS-G to explore family interactions, well-being, and social and community connections.
- ✓ Evaluation of FAR vs. Investigation tracks (pending available resources).

Racial Justice Data

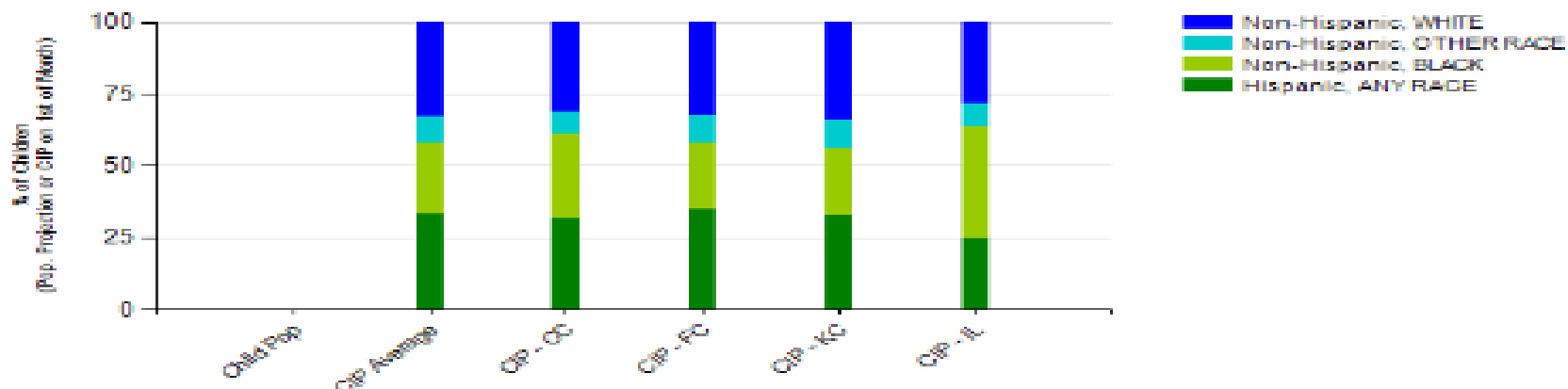


Placement Disproportionality Report

Dashboard

Trends

Race/Ethnicity Disproportionality: Children-in-Placement (CIP)
Averages; Comparison by Placement Type



Month / Year	Hispanic, ANY RACE		Non-Hispanic, BLACK		Non-Hispanic, OTHER RACE		Non-Hispanic, WHITE		Grand Total	
	#	%	#	%	#	%	#	%	#	%
Child Pop	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	100%
CIP Average	1,321	33.0%	999	25.0%	366	9.2%	1,312	32.8%	3,998	100%
CIP - CC	181	31.6%	170	29.7%	42	7.3%	179	31.3%	572	100%
CIP - FC	600	34.8%	400	23.2%	162	9.4%	563	32.6%	1,725	100%
CIP - KC	491	32.7%	352	23.5%	145	8.7%	513	34.2%	1,501	100%
CIP - IL	49	24.6%	77	38.7%	17	8.5%	56	28.1%	199	100%

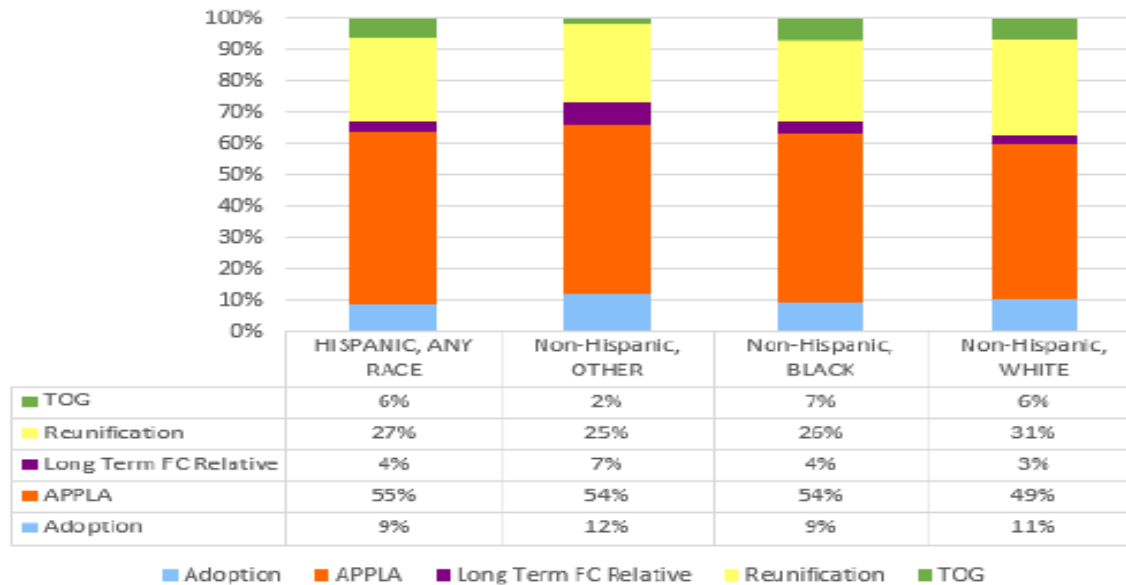
Averages are based on CIP on the 1st of the month from:

Starting Month: January - 15 Ending Month: January - 15

Legend: CIP- Children-In-Placement, CC - Congregate Care, FC - Foster Care, KC - Kinship (Relative or Special Study) Care, IL - Independent Living

Permanency Goals

**Statewide Permanency Goals by
Race/Ethnicity
(Ages 13+)
01.01.2015**



Race/Ethnicity	Adoption	APPLA	Long Term FC Relative	No Goal ²	Reunification	TOG	Grand Total
HISPANIC, ANY RACE	46	292	19	52	142	31	582
Non-Hispanic, OTHER	14	62	8	14	29	2	129
Non-Hispanic, BLACK	45	264	21	86	126	33	575
Non-Hispanic, WHITE	57	259	15	26	165	33	555

ACR Case Practice Data Report

Case Practice

SLNo	Measure	Statewide			Region 1			Region 2			Region 3			Region 4		
		January, 2016	February, 2016	March, 2016	January, 2016	February, 2016	March, 2016	January, 2016	February, 2016	March, 2016	January, 2016	February, 2016	March, 2016	January, 2016	February, 2016	March, 2016
		Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength	Strength
		%	%	%	%	%	%	%	%	%	%	%	%	%	%	%
1	Visitation with Child and Parents	64%	0%	0%	64%	0%	0%	86%	0%	0%	69%	0%	0%	56%	0%	0%
2	Frequency of visits - Parents	65%	0%	0%	59%	0%	0%	78%	0%	0%	64%	0%	0%	58%	0%	0%
3	Frequency of visits - Father	58%	0%	0%	55%	0%	0%	80%	0%	0%	54%	0%	0%	53%	0%	0%
4	Frequency of visits - Mother	69%	0%	0%	63%	0%	0%	77%	0%	0%	71%	0%	0%	63%	0%	0%
5	Quality of visits - Parents	68%	0%	0%	70%	0%	0%	78%	0%	0%	64%	0%	0%	59%	0%	0%
6	Quality of visits - Father	62%	0%	0%	66%	0%	0%	80%	0%	0%	58%	0%	0%	49%	0%	0%
7	Quality of visits - Mother	72%	0%	0%	74%	0%	0%	77%	0%	0%	68%	0%	0%	65%	0%	0%
8	Frequency of visits - Child	77%	0%	0%	78%	0%	0%	88%	0%	0%	74%	0%	0%	77%	0%	0%
9	Quality of visits - Child	78%	0%	0%	88%	0%	0%	83%	0%	0%	76%	0%	0%	73%	0%	0%
10	Risk & Safety - Child in Placement	91%	0%	0%	87%	0%	0%	94%	0%	0%	95%	0%	0%	90%	0%	0%
11	Risk & Safety - Children in Home	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
12	Continuity of Relationship - Child w/ Parents	87%	0%	0%	78%	0%	0%	98%	0%	0%	99%	0%	0%	84%	0%	0%
13	Continuity of Relationship - Child w/ Fathers	85%	0%	0%	72%	0%	0%	100%	0%	0%	98%	0%	0%	82%	0%	0%
14	Continuity of Relationship - Child w/ Mothers	90%	0%	0%	83%	0%	0%	96%	0%	0%	100%	0%	0%	85%	0%	0%
15	Collateral Contact - Parents	76%	0%	0%	67%	0%	0%	71%	0%	0%	84%	0%	0%	69%	0%	0%
16	Collateral Contact - Fathers	71%	0%	0%	50%	0%	0%	70%	0%	0%	79%	0%	0%	63%	0%	0%
17	Collateral Contact - Mothers	81%	0%	0%	76%	0%	0%	73%	0%	0%	89%	0%	0%	75%	0%	0%
18	Collateral Contact - Child	77%	0%	0%	67%	0%	0%	82%	0%	0%	63%	0%	0%	79%	0%	0%
19	Parent Needs	69%	0%	0%	67%	0%	0%	75%	0%	0%	79%	0%	0%	57%	0%	0%
20	Needs Assessed - Fathers	68%	0%	0%	43%	0%	0%	75%	0%	0%	76%	0%	0%	56%	0%	0%
21	Needs Assessed - Mothers	82%	0%	0%	68%	0%	0%	86%	0%	0%	89%	0%	0%	76%	0%	0%
22	Timely Accurate SDM - Parents	72%	0%	0%	51%	0%	0%	79%	0%	0%	83%	0%	0%	63%	0%	0%
23	Timely Accurate SDM - Child	67%	0%	0%	88%	0%	0%	91%	0%	0%	50%	0%	0%	58%	0%	0%
24	Physical health care - Child	85%	0%	0%	80%	0%	0%	94%	0%	0%	86%	0%	0%	80%	0%	0%
25	SA/Social Support/MH - Child	87%	0%	0%	78%	0%	0%	90%	0%	0%	91%	0%	0%	89%	0%	0%
26	Educational/development needs - Child	95%	0%	0%	87%	0%	0%	96%	0%	0%	98%	0%	0%	96%	0%	0%
27	Physical health care needs assessed - Child	96%	0%	0%	93%	0%	0%	100%	0%	0%	97%	0%	0%	94%	0%	0%
28	Physical health care needs addressed - Child	93%	0%	0%	87%	0%	0%	96%	0%	0%	95%	0%	0%	89%	0%	0%
29	Dental health care needs assessed - Child	93%	0%	0%	89%	0%	0%	96%	0%	0%	92%	0%	0%	90%	0%	0%
30	Dental health care needs addressed - Child	93%	0%	0%	88%	0%	0%	96%	0%	0%	95%	0%	0%	92%	0%	0%
31	Vision needs - Child	95%	0%	0%	84%	0%	0%	96%	0%	0%	100%	0%	0%	93%	0%	0%
32	Education/development needs assessed - Child	97%	0%	0%	89%	0%	0%	98%	0%	0%	99%	0%	0%	98%	0%	0%
33	Education/development needs addressed - Child	95%	0%	0%	85%	0%	0%	96%	0%	0%	99%	0%	0%	96%	0%	0%
34	Maternal relatives	90%	0%	0%	92%	0%	0%	88%	0%	0%	100%	0%	0%	89%	0%	0%
35	Paternal relatives	87%	0%	0%	88%	0%	0%	82%	0%	0%	100%	0%	0%	85%	0%	0%

ACR NEEDS ASSESSMENT REPORT

Actions |  |  | of 1 |  |  | | 

Needs Assessment - Percentages For State Date Range 1/1/2016 To 3/31/2016

	State		Region 1	Region 2	Region 3	Region 4	Region 5	Region 6
	Total # people	%Not Receiving Services	%Not Receiving Services	%Not Receiving Services	%Not Receiving Services	%Not Receiving Services	%Not Receiving Services	%Not Receiving Services
<input checked="" type="checkbox"/> Substance Abuse	388	22%	26%	19%	14%	33%	14%	16%
<input checked="" type="checkbox"/> Physical Health	388	19%	26%	19%	13%	28%	10%	9%
<input checked="" type="checkbox"/> Social Support System	388	20%	36%	19%	13%	27%	10%	9%
<input checked="" type="checkbox"/> Household Relationship Skills	388	21%	36%	19%	13%	32%	10%	9%
<input checked="" type="checkbox"/> Parenting Skills	388	22%	26%	21%	16%	30%	13%	14%
<input checked="" type="checkbox"/> Emotional Health/Coping skills	388	23%	33%	24%	16%	33%	13%	11%
<input checked="" type="checkbox"/> Resource Management/Basics needs	388	19%	26%	17%	13%	26%	12%	9%

Sunday, January 31, 2016 1:12 PM

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Risk Management Data: SFY 2015

Race	▼	Youth Arrested	% Arrested
Asian		4	0.48%
Bi-Racial		47	5.68%
Black/African American		342	41.35%
Caucasian		175	21.16%
Hispanic		252	30.47%
Native American Indian		2	0.24%
Other		4	0.48%
Unknown		1	0.12%
Grand Total		827	100.00%

Fatality Data Report

JAN 1, 2005 -DEC 31, 2015

Calendar Year of Incident	Child Deaths Due to Maltreatment			DCF Involved But Death Not Due to Maltreatment	Not DCF Involved and Not Maltreatment	Total Child Deaths Reported to DCF Risk Management
	DCF Involved		No DCF Involvement			
	Open DCF Case	Prior DCF Case				
2005	0	0	1	11	7	19
2006	1	1	1	13	9	25
2007	2	2	0	15	5	24
2008	2	5	4	12	14	37
2009	1	2	4	12	12	31
2010	0	3	2	12	17	34
2011	4	4	2	14	17	41
2012	1	5	4	11	15	36
2013	5	5	6	12	13	41
2014	7	8	2	21	12	50
2015	4	3	2	16*	16	41
2005	0.0%	0.0%	5.3%	57.9%	36.8%	100.0%
2006	4.0%	4.0%	4.0%	52.0%	36.0%	100.0%
2007	8.3%	8.3%	0.0%	62.5%	20.8%	100.0%
2008	5.4%	13.5%	10.8%	32.4%	37.8%	100.0%
2009	3.2%	6.5%	12.9%	38.7%	38.7%	100.0%
2010	0.0%	8.8%	5.9%	35.3%	50.0%	100.0%
2011	9.8%	9.8%	4.9%	34.1%	41.5%	100.0%
2012	2.8%	13.9%	11.1%	30.6%	41.7%	100.0%
2013	12.2%	12.2%	14.6%	29.3%	31.7%	100.0%
2014	14.0%	16.0%	4.0%	42.0%	24.0%	100.0%
2015	9.8%	7.3%	4.9%	39.0%	39.0%	100.0%

* 5 Cases from 2015 are still being investigated for possible maltreatment



Connecticut Juvenile Training School (DCF) Restraint & Seclusion Report For November 2015

Data Source: DCF Web-Based ESI Reporting System
Facility Data_Entry file
Report Date: 1/13/2016
Prepared By: DCF Office for Research & Evaluation

**DATA FOR
SELECTED PERIOD**
Unique Placements: 77
Unique Persons: 77

ESI's: 233
ESI Involved Clients: 35 (45.5%)
All Unique Clients: 77
ESI-free Clients: 42 (54.5%)

ESI's/1KC-Days: 116.73
Physical Restraints: 71 (30.5%)
PR Clients: 31 (40.3%)
PR's/1KC-Days: 35.57
PR-Free Clients: 46 (59.7%)

Prone Restraints: 6 (8.5%)
Prone Clients: 6 (19.4%)
Prone/1KC-Days: 3.01
Escort Only PR: 1 (1.4%)
Mech. Restraints: 15 (6.4%)
Mech. Restr Clients: 9 (11.7%)
Locked Seclusion: 107 (45.9%)
Locked Secl. Clients: 32 (41.6%)
UnLocked Seclusion: 40 (17.2%)
UnLocked Secl Cnts: 22 (9.4%)

Outliers For November 2015:

Most ESI's: -
Most PR's:
Most MR's:
Most LS's:
Most ULS's:

ADC For Month: 66.53

(Prone %'s are calculated as a % of all physical restraints and physical restraint clients)

Results Oriented Management Reports

Remember to [Save Selected Reports](#) after changing your selected reports.

Summary and Other Reports

Summary Reports

- ☐ Exit Summary

Racial Disproportionality & Disparity

- ☐ Outcomes Summary by Race
- ☐ Disproportionality: All Child Reports
- ☐ Disproportionality: Accepted Referrals
- ☐ Disproportionality: Child Victim
- ☐ Disproportionality: Entered Foster Care
- ☐ Disproportionality: In Foster Care
- ☐ Disproportionality: Exit Foster Care
- ☐ Disparity: All Child Reports
- ☐ Disparity: Accepted Referrals
- ☐ Disparity: Child Victim
- ☐ Disparity: Entered Foster Care
- ☐ Disparity: In Foster Care
- ☐ Disparity: Exit Foster Care

Executive Summary Reports

- ☐ Outcomes Summary by Unit

What Can We Still Do?

Reports & Responses

- ☒ Exit Plan #2: Report Responses Pending/Completed Within 45 Days

In-Home Care

- ☐ Exit Plan #17: Pending In-home Visits

Out-of-Home Care

- ☐ Exit Plan #16: Worker-Child Visitation Pending/Completed In Current Month
- ☐ Exit Plan #22: Pending MDE

Permanency Countdown Reports

- ☐ Countdown for Placement Resources
- ☐ Countdown to Permanency (of those that entered care in last 24 months)
- ☐ Countdown to Adoption/Other Permanency (those given TPR in last 24 months)
- ☐ Countdown to TPR (of those starting 17th month in last 24 months)

How Much Did We Do?

Reports & Responses

- ☐ Maltreatment Allegations Received by Maltreatment Type
- ☐ Completed Report Responses by Substantiation Decision

Out-of-Home Care

- ☒ Child Placement Episode Counts
- ☐ Average Daily Population Out of Home
- ☐ Discharge Reason (of those discharged)
- ☐ Placement Type (of those in care)

How Well Did We Do?

Reports & Responses

- ☐ Exit Plan #1: Report Response Commencement
- ☐ Exit Plan #2: Report Response Completion
- ☒ Exit Plan #17: Twice Monthly Worker-Family Visitation In-Home

Out-of-Home Care

- ☐ Exit Plan #16: Monthly Worker-Child Visitation
- ☒ Exit Plan #22: Multidisciplinary Exams Completed Within 30 Days
- ☒ Exit Plan #4: Placement Resource Search
- ☐ Initial Placement With Relatives (of those entering care)
- ☐ Placement in same or adjoining Town (of those in care)
- ☐ Siblings Placed Together (of those with siblings in out-of-home placement)
- ☒ Length of Stay (for those in care)

CFSR Round 3

- ☐ (Federal) Placement Stability

CFSR Round 3: Supplemental

- ☐ Placement Moves Rate per 1,000 Days of Care
- ☐ Adopted in less than 12 months of TPR (of those TPR 12 months ago)

Are Our Children Better Off?

Reports & Responses

- ☐ Exit Plan #5: Child Safety Maintained 6 Months
- ☐ Exit Plan #6: Child Safe While In DCF Care

Out-of-Home Care

- ☐ Exit Plan #7: Reunified Within 12 Months (of those reunified)
- ☒ Exit Plan #9: Guardianship Within 24 Months (of guardianships transferred)
- ☐ Exit Plan #8: Adopted Within 24 Months (of those adopted)
- ☒ Exit Plan #11: Maintained Permanency 12 Months (of entries to care)
- ☐ Permanency in 12 Months (of those entering care 12 months ago)
- ☐ Permanency in 24 Months (of those entered care 24 months ago)
- ☐ No Re-Entry into Custody (of those discharged 12 months ago)

CFSR Round 3

- ☐ (Federal) Recurrence of Maltreatment
- ☐ (Federal) Maltreatment in Foster Care
- ☐ (Federal) Permanency in 12 Months
- ☐ (Federal) Permanency in 12 Months for Children in Foster Care 12-23 Months
- ☐ (Federal) Permanency in 12 Months for Children in Foster Care 24+ Months
- ☐ (Federal) Re-Entry to Foster Care

CFSR Round 3: Supplemental

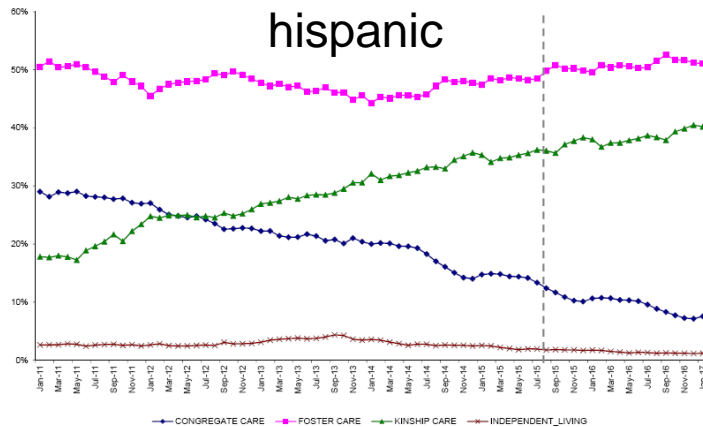
- ☐ Safe from Maltreatment Recurrence for 6 months (of victims 6 mos. ago)
- ☐ Maltreatment Reports During Foster Care
- ☐ Permanency in 12 months (of those entered care 12 months ago)
- ☐ Permanency in 24 months (of those entered care 24 months ago)
- ☐ Permanency Maintained 12 months (of those discharged 12 mos ago)
- ☐ Cumulative Permanency for Children in Foster Care 12 to 23 Months
- ☐ Cumulative Permanency for Children in Foster Care 24+ Months

Service System Data: Thinking About Better Off

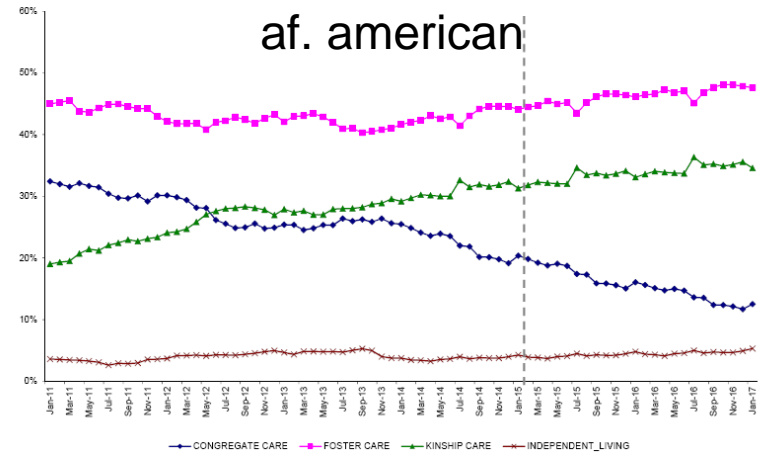
Reasons for Discharge ages 0 -17: CY 2014	Black	%	Hispanic	%	Multi-Racial	%	Other	%	Unable to Report	%	White	%	Grand Total	Total %
Agency Discontinued: Administrative	583	17%	1551	44%	63	2%	131	4%	144	4%	1035	30%	3507	10.31%
Agency Discontinued: Clinical	68	21%	100	30%	12	4%	9	3%	33	10%	106	32%	328	0.96%
Child Adopted	6	10%	28	47%	2	3%	0	0%	1	2%	22	37%	59	0.17%
Child Needs Different Setting	5	17%	16	53%	1	3%	0	0%	3	10%	5	17%	30	0.09%
Child placed w\ another foster fam. outside Provider network	10	37%	6	22%	0	0%	0	0%	3	11%	8	30%	27	0.08%
Child placed w\ another foster fam. within Provider network	51	35%	61	42%	7	5%	2	1%	0	0%	23	16%	144	0.42%
Child placed with relative	15	39%	9	24%	1	3%	1	3%	2	5%	10	26%	38	0.11%
Child ran away	29	25%	47	41%	4	3%	3	3%	9	8%	23	20%	115	0.34%
Child Refused Continued Placement	0	0%	1	25%	1	25%	0	0%	0	0%	2	50%	4	0.01%
Child Requires Other Out-of-Home Care	59	25%	73	31%	4	2%	9	4%	4	2%	90	38%	239	0.70%
Child returned to parent(s)	11	21%	21	40%	3	6%	1	2%	1	2%	15	29%	52	0.15%
Client Hospitalized: medically	7	23%	9	29%	0	0%	1	3%	1	3%	13	42%	31	0.09%
Client Hospitalized: psychiatrically	82	11%	206	28%	16	2%	33	4%	54	7%	351	47%	742	2.18%
Client Incarcerated	49	45%	39	36%	5	5%	4	4%	2	2%	9	8%	108	0.32%
Client Requires Higher Level of Care	178	14%	502	40%	41	3%	46	4%	36	3%	451	36%	1254	3.69%
Client Requires Out of Home Placement	9	28%	12	38%	0	0%	1	3%	3	9%	7	22%	32	0.09%
Client/Family Moved	124	16%	339	43%	16	2%	35	4%	28	4%	248	31%	790	2.32%
Current D/C Plan Achieved	58	26%	71	32%	5	2%	8	4%	4	2%	78	35%	224	0.66%
Family Discontinued	933	17%	1935	34%	104	2%	226	4%	513	9%	1940	34%	5651	16.62%
Met Treatment Goals	2760	15%	6097	33%	419	2%	791	4%	1253	7%	7255	39%	18575	54.63%
Grand Total	5405	16%	11885	35%	758	2%	1386	4%	2145	6%	12421	37%	34000	100%

Placement Projections by Race/Ethnicity

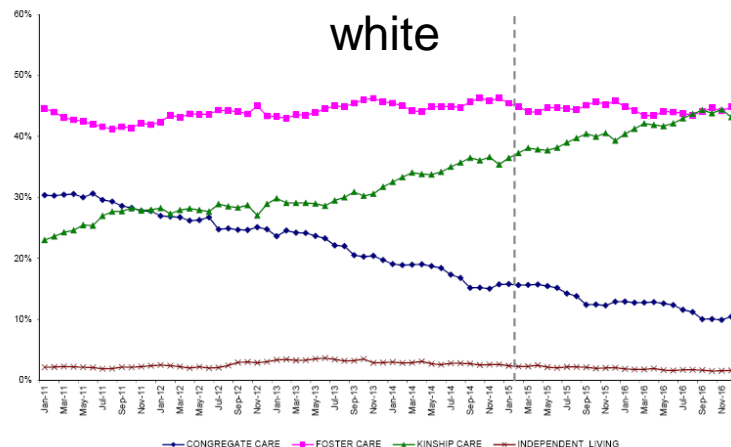
Trend in the Percentage of HISPANIC Children in DCF Placement by Placement Type:
Observed Data Thru January 2015, Forecasted Data From February 2015



Trend in the Percentage of NON-HISPANIC, BLACK Children in DCF Placement by Placement Type:
Observed Data Thru January 2015, Forecasted Data From February 2015



Trend in the Percentage of NON-HISPANIC, WHITE Children in DCF Placement by Placement Type:
Observed Data Thru January 2015, Forecasted Data From February 2015



Continued gap of af.
american children being
placed with kin (green line)

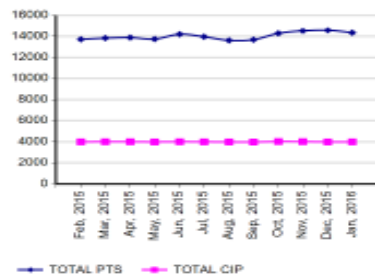
Children in Placement Dashboard

Total Caseload Points and Children-in-Placement (CIP) Distributions February, 2015 to January, 2016

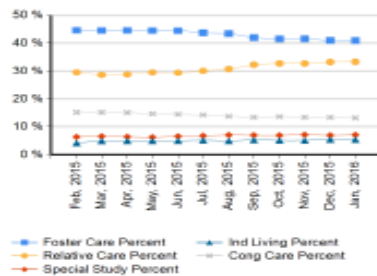
Statewide

State	Region 1	Region 2	Region 3	Region 4	Region 5	Region 6											
CIP DASHBOARD			% of Total Children-in-Placement (CIP)					# in Congregate Care Subgroups				# and % of Children Entering Placement During Time Period					
Observation Date	Total Caseload Points	Total CIP	Family Foster Care			Independent Living	Congregate Care	Out of State	Age Group			Entries During Period	Kinship Care		Foster Care	Congregate Care	Independent Living
			Foster Care	Relative Care	Special Study				>=13	7-12	<6		Relative Care	Special Study			
02/01/2015	13,714	3,993	44.6 %	29.5 %	6.4 %	4.2 %	15.3 %	12	567	36	6	139	28.1 %	5.0 %	40.3 %	21.6 %	5.0 %
03/01/2015	13,827	4,011	44.6 %	28.6 %	6.6 %	5.0 %	15.3 %	12	574	33	5	167	37.1 %	6.6 %	36.5 %	16.8 %	3.0 %
04/01/2015	13,879	4,008	44.6 %	28.8 %	6.4 %	5.0 %	15.1 %	13	567	35	5	151	40.4 %	4.6 %	39.7 %	13.2 %	2.0 %
05/01/2015	13,737	3,990	44.5 %	29.5 %	6.3 %	5.0 %	14.7 %	11	543	35	8	178	29.2 %	7.9 %	42.7 %	18.0 %	2.2 %
06/01/2015	14,193	4,009	44.5 %	29.4 %	6.6 %	5.0 %	14.5 %	11	542	33	6	185	42.2 %	7.6 %	31.4 %	17.8 %	1.1 %
07/01/2015	13,955	3,997	43.7 %	30.1 %	6.8 %	5.2 %	14.3 %	10	532	32	6	195	40.5 %	8.7 %	35.9 %	13.3 %	1.5 %
08/01/2015	13,614	3,988	43.4 %	30.8 %	7.0 %	5.0 %	13.8 %	10	514	30	5	227	48.0 %	5.3 %	35.2 %	10.6 %	0.9 %
09/01/2015	13,679	3,977	42.0 %	32.3 %	7.0 %	5.3 %	13.4 %	9	500	26	8	198	40.9 %	3.5 %	35.9 %	17.7 %	2.0 %
10/01/2015	14,279	4,026	41.5 %	32.8 %	6.9 %	5.1 %	13.6 %	9	514	28	7	143	29.4 %	7.7 %	49.7 %	12.6 %	0.7 %
11/01/2015	14,519	4,013	41.5 %	32.8 %	7.1 %	5.2 %	13.4 %	9	502	30	6	181	31.5 %	7.7 %	48.1 %	11.6 %	1.1 %
12/01/2015	14,575	3,990	41.0 %	33.3 %	6.9 %	5.5 %	13.4 %	10	499	27	7	162	26.4 %	6.2 %	54.3 %	11.1 %	0.0 %
01/01/2016	14,338	3,993	40.9 %	33.4 %	7.1 %	5.5 %	13.1 %	11	489	29	7	54	25.9 %	1.9 %	48.1 %	22.2 %	1.9 %
% Change from 2/1/2015 to Latest	4.6%	0.0%	-8.2%	13.0%	11.4%	29.0%	-13.8%	-8.3%	-13.8%	-19.4%	16.7%	-61.2%	-64.1%	-85.7%	-53.6%	-60.0%	-85.7%

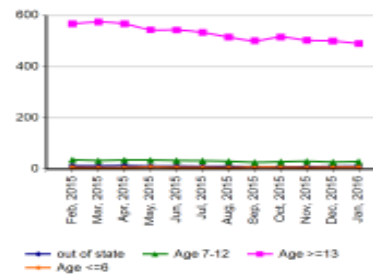
Total DCF Caseload and Number of Children in Placement (CIP)



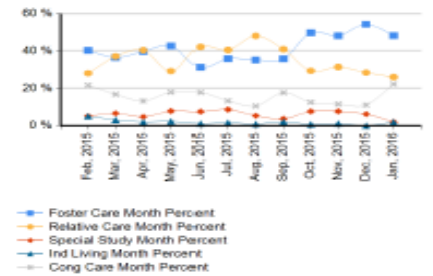
Children in Placement (CIP) by Placement Type



Number of Children in Cong Care: by Age Group and Out-of-State



Children Entering Care by Initial Placement Type





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